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# Phosphoinositide 3-kinase $\delta$ gene mutation predisposes to respiratory infection and airway damage

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### Abstract

Genetic mutations cause primary immunodeficiencies (PIDs), which predispose to infections. Here we describe Activated PI3K- $\delta$  Syndrome (APDS), a PID associated with a dominant gain-offunction mutation E1021K in the p110 $\delta$  protein, the catalytic subunit of phosphoinositide 3-kinase  $\delta$  (PI3K $\delta$ ), encoded by the *PIK3CD* gene. We found E1021K in 17 patients from seven unrelated

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families, but not among 3,346 healthy subjects. APDS was characterized by recurrent respiratory infections, progressive airway damage, lymphopenia, increased circulating transitional B cells, increased IgM and reduced IgG2 levels in serum and impaired vaccine responses. The E1021K mutation enhanced membrane association and kinase activity of p1108. Patient-derived lymphocytes had increased levels of phosphatidylinositol 3,4,5-trisphosphate and phosphorylated AKT protein and were prone to activation-induced cell death. Selective p1108 inhibitors IC87114 and GS-1101 reduced the activity of the mutant enzyme *in vitro*, suggesting a therapeutic approach for patients with APDS.

Respiratory infections are the most common illnesses of people worldwide. Recurrent respiratory infections may lead to bronchiectasis, a permanent, abnormal dilation of bronchi (1). Susceptibility to recurrent respiratory infections and bronchiectasis may be conferred by an underlying primary immunodeficiency (PID) (1, 2). PIDs have variable penetrance and those that have a milder course may remain undiagnosed. Mutations in more than 200 genes are known to cause various PIDs (3). Recent improvements in DNA sequencing technology provide an opportunity to study the patient's whole genome or its coding part, known as the exome (4). This technological advancement has significantly improved the genetic diagnostics of PIDs in patients with recurrent and severe infections and facilitated the identification of novel causative genes and mutations.

We used exome sequencing to search for causative mutations in 35 PID patients from the UK who suffered recurrent infections and had a family history of susceptibility to infections (5). Following identification of genetic variants in these patients, we excluded common polymorphisms previously detected in the 1,000 Genomes and NHLBI projects (table S1) (5). When cross-checking the remaining rare variants, we noted that three patients from one family (P1, P2 and P3 in family A) and one patient from another family (P5 in family B) had the same heterozygous G to A mutation at position 9,787,030 on chromosome 1, c.3061G>A in the *PIK3CD* gene (fig. 1). This mutation was not present in the other exomes and was the only rare variant shared among all patients in these two unrelated families. It encodes an amino-acid substitution, a glutamic acid for a lysine, at position 1021 (E1021K) of the p1108 protein, the catalytic subunit of phosphoinositide 3-kinase  $\delta$  (PI3K $\delta$ ). Sanger sequencing confirmed the presence of the E1021K mutation in these patients and four additional affected family members. In both families the mutation co-segregated with the clinical phenotype (fig. 1).

We then designed a genotyping assay for this E1021K mutation and screened 3,346 healthy subjects, including 2,296 from the UK and 1,050 representing 51 different populations from around the world (5). No healthy carriers of E1021K were identified in these two large cohorts, supporting our hypothesis that this is a pathogenic mutation rather than a rare neutral polymorphism. We then studied DNA samples of an additional heterogeneous cohort of 134 PID patients from the UK and Ireland (5). In this cohort we identified five further patients from three unrelated families C, D and E who had the same heterozygous E1021K mutation (fig. 1A). The apparent high frequency of the mutation among PID patients and the fact that P8 (family C) had previously been diagnosed with hyper-IgM syndrome prompted us to study an additional cohort from France comprising 15 hyper-IgM patients from 13 families that had previously undergone exome sequencing. Among these we found three patients from two unrelated families F and G with the same mutation, indicating that E1021K may cause a typical hyper-IgM syndrome. One additional patient was identified among family members, bringing the overall number of patients with the E1021K mutation to 17.

Sequencing of the healthy parents of P8 in family C showed that both were homozygous for the normal allele (fig. 1A). Genome-wide identity-by-descent analysis in family C

confirmed the relationship of both parents to P8, thus classifying this E1021K mutation as *de novo*. The mutation was present in DNA isolated from both fibroblast and blood samples of P8 and therefore is likely to be germline, rather than somatic. Then, in families A - E we studied genotypes of 149 markers in a 2 Mb interval on chromosome 1 flanking the mutation (5). We found no shared long-range haplotypes across any pair of families and no flanking markers that were consistently in linkage disequilibrium with the mutation across all five families. These data strongly suggest a recurrent mutation, rather than a founder effect. Nucleotide G in position 9,787,030 is part of a CpG dinucleotide (fig. 1B) that is known to be ~30 times more prone to transition mutations (e.g. G>A) than an average nucleotide in the genome (6).

Prior to our genetic analysis, patients from families A – G were not considered to have the same disease etiology. The discovery of the same causative mutation in these patients prompted us to compare their clinical and immunological histories (table S2), revealing the phenotype of this PID, which is characterized by recurrent respiratory infections and progressive airway damage (table 1, Supplementary Text and figs. S1, S2). Whereas the immunological phenotype was largely consistent between patients, the clinical presentation and disease course have been variable (e.g. mild disease in P10; table S2). Such clinical variability may be explained by differences in lifestyle, exposure to pathogens, treatment efficacy, and possibly by modifying genetic factors.

To understand how the E1021K mutation caused immunodeficiency we first studied its impact on p110 $\delta$  function. The p110 $\delta$  protein is a catalytic subunit that, together with a regulatory subunit, forms PI3K\delta, a heterodimeric lipid kinase. PI3K\delta phosphorylates phosphatidylinositol 4,5-bisphosphate (PIP<sub>2</sub>), generating phosphatidylinositol 3,4,5trisphosphate (PIP<sub>3</sub>), an important second messenger molecule. We cloned the cDNA of p110 $\delta$  and introduced the E1021K change by site-directed mutagenesis. Subsequently, we expressed both normal and mutant  $p110\delta$  proteins, together with the regulatory subunit p85a, in baculovirus-infected insect cells and purified the proteins (fig. S3A). We measured lipid kinase activity using a modified membrane capture assay (7) and found that the basal PIP<sub>3</sub> production by PI3KS containing the mutant p1108<sup>E1021K</sup> subunit was up to 6-fold higher than that produced by the wild type PI3K\delta (figs. 2A and S3B). After stimulation with a platelet-derived growth factor (PDGF) receptor's bis-phosphorylated peptide (pY), the activity of both wild type and mutant PI3K $\delta$  increased, but PIP<sub>3</sub> production by the mutant PI3K\delta was still up to 3 times higher (figs. 2A and S3C). We used two structurally related isoform-selective PI3K8 inhibitors, IC87114 and GS-1101 (8, 9), and found that both reduced the activity of the mutant PI3K\delta as efficiently as that of the wild type PI3K\delta (fig. 2B), suggesting that these compounds may be effective in patients with the E1021K mutation.

To understand the mechanism by which E1021K increases PI3K $\delta$  activity, we first modeled the structure of the mutant p110 $\delta$  protein (5). p110 $\delta$  is organized similarly to other PI3K catalytic subunits (fig. 2C) (10, 11). The E1021K mutation is located in the C-lobe of the kinase domain that interacts with cellular membrane, accommodates lipid substrate and binds the cSH2 domain of the regulatory subunit (fig. 2D). Structural modeling showed that E1021K of p110 $\delta$  is positioned similarly to the somatic mutation H1047R of another PI3K isoform, p110 $\alpha$ , which is known to increase PI3K activity in cancer cells by enhancing its association with membranes (12, 13). Therefore, we used a protein-lipid fluorescence resonance energy transfer (FRET) assay to study interaction between lipid vesicles and either wild type p110 $\delta$  or the mutant p110 $\delta$ <sup>E1021K</sup>. We found that p110 $\delta$ <sup>E1021K</sup> has a much higher basal affinity for lipid vesicles than the wild type p110 $\delta$  (fig. 2E). After pY stimulation, the affinity of p110 $\delta$ <sup>E1021K</sup> was also increased, although the difference with respect to the pY-activated wild type p110 $\delta$  was less striking (fig. 2E). These results suggest

that stronger binding to membranes contributes to the increased activity of the mutant  $p110\delta^{E1021K}$  protein. Another potential activating mechanism of E1021K may involve interaction of p110 $\delta$  with the regulatory subunit p85 $\alpha$  (14). Our structural model shows that E1021K may impair binding of p110 $\delta$  to the inhibitory cSH2 domain (fig. 2D) leading to increased PI3K $\delta$  activity. However, it is unlikely to affect binding of another inhibitory p85 $\alpha$  domain, nSH2 (fig. 2D). This is consistent with our observation that pY stimulation further activates the mutant enzyme, probably by removing the nSH2 inhibition.

PI3K8 is expressed predominantly in cells of hematopoietic lineage and is the major PI3K isoform signaling downstream of T and B cell antigen receptors (TCR and BCR), Toll-like receptors (TLRs), co-stimulatory molecules and cytokine receptors in T, B and myeloid cells (15). Therefore, we studied the activity of the mutant PI3K $\delta$  ex vivo in patients' leukocytes. We measured levels of PIP<sub>3</sub> using a high-performance liquid chromatography – mass spectrometry-based assay (16) in CD4+ and CD8+ T cells isolated from fresh peripheral blood. In both T lymphocyte lineages we found consistently higher PIP<sub>3</sub> levels in patients than in controls prior to stimulation and 10, 20, 30 and 60 seconds after stimulation (fig. 3A). In patient cells treated with IC87114 the levels of PIP<sub>3</sub> were significantly reduced (fig. 3A). Furthermore, in stimulated patients' T cells we found increased levels of phosphorylated AKT protein, a major downstream mediator of PIP<sub>3</sub> signalling (fig. 3B). Levels of p1108 expression were normal in the patients' T cells (fig. 3B). We then cloned in a retroviral vector the wild-type p110 $\delta$ , the mutant p110 $\delta^{E1021K}$  and p110 $\delta^{D911A}$  with mutation D911A that inactivates the kinase domain, and transduced these constructs into T blasts isolated from the p110 $\delta$ -knockout mouse (5). After stimulation cells with p110 $\delta^{E1021K}$ had more phosphorylated AKT than other cells (figs. 3C and S4). Together, these results strongly suggest that the E1021K mutation increases PI3K signaling in vivo as well as in vitro.

To study T cell responses we stimulated purified CD4+ and CD8+ cells with anti-CD3 and anti-CD28 antibodies. Unexpectedly, we observed that both T cell lineages from patients were prone to cell death (figs. 3D and S5A). This phenomenon was reversed by the addition of IC87114 but not IL-2 (figs. 3D and S5B), suggesting that it is caused by the increased PI3K8 activity. Cytokine production following stimulation of T cells was profoundly reduced in the patients and was not rescued by exogenous IL-2 (fig. S6), suggesting that T cell death occurs prior to any significant cytokine response. However, stimulation with CytoStim, which did not induce T cell death, also led to reduced cytokine production by the patients-derived T cells (fig. S7). The propensity to activation-induced cell death (AICD) is consistent with T cell lymphopenia found in our patients. It may relate in part to the increased proportion of T cells with an activated/memory phenotype (table S2) (17). Moreover, given that p1108 inhibitor reduces AICD of the patient-derived T cells, the activated p1108 may increase the AICD per se, possibly by enhancing TCR signalling.

In the patients' B lymphocytes we also found increased amounts of phosphorylated AKT, both before and after stimulation, although this analysis was complicated by enhanced protein degradation in the patient-derived cells (fig. S8). Studies in transgenic mice deficient for phosphatase and tensin homologue (PTEN), an enzyme that dephosphorylates PIP<sub>3</sub>, have shown that PI3K\delta activity, PIP<sub>3</sub> and phosphorylated AKT suppress immunoglobulin class switch recombination (CSR) in B cells. These mice have impaired B cell function, increased IgM, decreased IgG and IgA levels and impaired antibody responses after immunization (18-21). Immunological presentation of our patients resembles this phenotype and indicates a B cell defect. However, normal total IgG and IgA levels that were found in most of our patients suggest that CSR may be only partially affected. Nevertheless, inefficient antibody production impairs responses to *S. pneumoniae* and *H. influenzae type B* vaccinations in our patients leading to recurrent infections with these pathogens. An increased population of

circulating transitional B cells may reflect a block in late stages of B cell maturation or an enhanced death of mature B cells.

PI3Kδ is also highly expressed in neutrophils. We found that patient-derived neutrophils retained their ability to undergo a respiratory burst, degranulation, chemotaxis, and apoptosis (fig. S9). We measured PIP<sub>3</sub> accumulation in TNFα-primed neutrophils in response to fMLP stimulation at 6 seconds (a PI3Kγ-dependent response) and at 60 seconds (a predominantly PI3Kδ-mediated signal) (22) and found no significant difference between patients and controls in either response (fig. S9). Thus, the effect of the E1021K mutation on the PI3Kδ activity may be cell-type or stimulus-specific, or may be compensated for by effects of other PI3K isoforms or PTEN. Nevertheless, we cannot exclude that a subtle defect in neutrophil function may contribute to the disease pathogenesis in these patients.

Here we describe a PID caused by a recurrent autosomal dominant germline mutation E1021K in the *PIK3CD* gene that encodes p1108. We found it in 17 patients from seven unrelated families, suggesting that it is frequent among PID patients and may explain a substantial fraction of patients with recurrent respiratory infections and bronchiectasis. Our rapid genotyping assay should facilitate screening for the E1021K mutation in existing PID and bronchiectasis cohorts, as well as new patients. The E1021K mutation was previously noted in one Taiwanese patient with recurrent respiratory infections and PID; however, its causative and pathogenic role has not been demonstrated (23). Here we have shown that E1021K increases PI3K $\delta$  activity, augmenting the production of PIP<sub>3</sub> and activating the downstream AKT protein in lymphocytes. This leads to defects in T and B cell function and inefficient immune responses to bacterial pathogens, predisposing to recurrent respiratory infections and eventually to bronchiectasis. We named this disorder Activated PI3K-Delta Syndrome (APDS).

Activation of the PI3K pathway is associated with malignant transformations and it has been shown that overexpression of p1108 can transform cells (24). To date, only one of our APDS patients, P13, has been diagnosed with lymphoma (table 1). Nonetheless, the oncogenic potential of PI3K up-regulation can be enhanced by additional mutations (25, 26). Therefore, APDS patients may be at increased risk of leukemia or lymphoma if they acquire additional somatic mutations.

The APDS patients described here had been treated with immunoglobulin replacement and antibiotics. Despite this, there is evidence of significant airway damage in most cases. Because of progressive severe disease following splenectomy, patient P8 underwent allogeneic hematopoietic stem cell transplantation (HSCT) at the age of 8 years. One year after HSCT his clinical condition had improved dramatically, suggesting that HSCT may be a long-term treatment option for young patients. Nevertheless, our results raise the possibility that selective p1108 inhibitors, such as GS-1101, may be an alternative effective therapeutic approach in APDS patients. GS-1101 (CAL-101 or Idelalisib) has been tested in phase I/II clinical trials for treatment of chronic lymphocytic leukemia (www.clinicaltrials.gov). The possibility of treating APDS patients with p1108 inhibitors should therefore be considered.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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### Fig. 1. Families with the E1021K p1108 mutation

(A) ○ and □ - unaffected; ● and ■ - affected; ● and ■ - available data indicate recurrent infections. Age at the time of death is shown for patients who died 30 years of age. *PIK3CD* genotype is shown if known: wt, wild type allele encoding glutamic acid (E1021); mut, mutant allele encoding lysine (K1021). (B) Sequence chromatogram showing heterozygous mutation c.3061G>A in the *PIK3CD* gene leading to the E1021K amino-acid change in p1108. CpG dinucleotide is underlined.

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### Fig. 2. In vitro activity and structure of p1108

(A) Basal and pY-stimulated PI3K activity at 20 nM concentration. Graphs are mean  $\pm$  SD of 3 independent experiments. *P*-values were calculated by two-tailed t-test. (B) Inhibition of mutant and wild-type p1108/p85 $\alpha$  as a function of IC87114 or GS-1101 concentration (data are mean  $\pm$  SD, N=3). (C) Domain organization of p110 $\delta$ . (D) Structural model of the p1108/p85 $\alpha$  heterodimer. p110 $\delta$  catalytic subunit (pale green), nSH2 and iSH2 domains of the p85 regulatory subunit (cyan), cSH2 domain (magenta), p110 $\delta$  activation loop (thick chocolate tube beneath k $\alpha$ 12), residue E1021 of p110 $\delta$  (green spheres) and the analogous residue in H1047R mutant of p110 $\alpha$  (cyan spheres). The IC87114 inhibitor bound in the active site is shown in stick representation. (E) Membrane binding of p110 $\delta$ . FRET between the PI3K complex and Dansyl-PS-containing membrane vesicles in the absence (solid lines) or presence (dashed lines) of the pY peptide (data are mean  $\pm$  SD, N=3).

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### Fig. 3. Functional analyses of T cells in patients with APDS

(A) Intracellular PIP<sub>3</sub> levels in CD4+ and CD8+ T lymphocytes of patients (red squares, N=6) and controls (blue circles, N=5) at indicated times after anti-CD3/anti-CD28 stimulation in the presence or absence of IC87114. The data are expressed as the ratio of the quantity of PIP<sub>3</sub> divided by that of the internal standard (ISD) and normalized according to the cell number. The data show mean +/- SEM. P-values were calculated using two-way ANOVA with Bonferroni correction. (B) Representative (N=3) Western blot showing levels of p1108, AKT and phospho-AKT (pAKT) proteins in CD4+ T cells isolated from fresh blood samples of a healthy control (C) and a patient (P) without stimulation (-) or after 10 min stimulation (+) with anti-CD3 and anti-CD28 antibodies. (C) Representative (N=2) Western blot showing levels of p1108, and pAKT proteins in CD4+ T cell blasts of a p1108 knockout mouse transduced with retroviral constructs expressing either GFP or wild-type p110 $\delta$  (p110 $\delta$ <sup>WT</sup>) or kinase dead p110 $\delta$  (p110 $\delta$ <sup>D911A</sup>) or p110 $\delta$ <sup>E1021K</sup> without stimulation (-) or after stimulation (+) with anti-CD3 antibodies and anti-CD28 antibodies. (**D**) Quantification of surviving CD4+ and CD8+ T cells as indicated by % of cells excluding viability dve. Cells of patients (red, N=4) and controls (blue, N=7) were studied without stimulation and after stimulation with anti-CD3/anti-CD28 antibodies and in the presence of IC87114. Each subject was studied in triplicate. The data show mean +/- SEM. P-values were calculated using a two-way ANOVA with Sidak's multiple comparisons test.

# Summary of clinical and immunological features of patients with the E1021K p1108 mutation Table 1

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Clinical / Immunological manifestation	Patients	Frequency, n / total studied (%)
Recurrent respiratory and ear infections (H. influenzae, S. pneumoniae)	P1-17	17/17 (100)
CT evidence of large (bronchiectasis) or small (mosaic attenuation) airway disease	P1-7,9,11-13,17	12/16 (75)
Splenomegaly (prior to the onset of recurrent infections)	P2,3,5,6,8,9,13-16	10/17 (59)
Skin, salivary gland, lacrimal gland or dental abscess formation, orbital cellulitis	P1,3,5-8,10	7/17 (41)
Infection caused by herpes group viruses (HSV, CMV, VZV, EBV)	P3,8,12,13 (and the deceased sister of P5/P6)	4/17 (24)
Marginal zone lymphoma	P13	1/17 (6)
Low/intermittent low serum IgG2 levels	P2-7,10-13	10/11 (91)
High/intermittent high serum IgM levels	P1-6,8-11,13-16	14/17 (82)
Low levels of anti-pneumococcal antibodies	P1-4,7,9,11-13,17	10/10 (100)
Low levels of anti-Haemophilus Influenzae type B antibodies	P1-4,8,9,12,13	8/10 (80)
Decreased circulating T cells (total CD3+) and/or CD4+ and/or CD8+ T cells	P1-9,13,14,17	12/17 (71)
Decreased circulating B cells (total CD19+)	P2-9,13,14-16	12/17 (71)
Increased circulating transitional B cells (CD19+CD38+IgM+)	P1-4,7-14,16,17	14/16 (88)
Decreased circulating class switched memory B cells (CD19+CD27+IgD-)	P1-3,8,9,12,13,16	8/16 (50)